



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re th	ne application of:)
	~) Group Art Unit: 2633
	Chi Au et al.) Eveninen IIneggioned
Annlic	eation No. 10/615,318) Examiner: Unassigned
Applic	Zation 140. 10/013,316) Atty. Docket No. MAL1P001
Filed:	07/07/2003)
) Date: December 3, 2003
For:	OPTICAL ISOLATOR, ATTENUATOR)
	AND POLARIZER SYSTEM AND)
	METHOD FOR INTEGRATED OPTICS)
)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 3, 2003.

Signed: /

Erica L. Farlow

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56 AND 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-1351 (Order No. MAL1P001).

Respectfully submitted,

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Atty. Docket No. Application No.:

MAL1P001 10/615,318

Information Disclosure
Statement By Applicant

(Use Several Sheets if Necessary)

Atty. Docket No. Application No.:

MAL1P001 10/615,318

Applicant:

Chi Au et al.

Filing Date: Group Art Unit:

7/7/2003 2633

U.S. Patent Documents

Examiner						Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
	A	5,996,880	12/07/1999	Chu et al.	228	180.21	04/06/1998
	В	5,982,653	11/09/1999	Chu	365	63	08/06/1997
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Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication	Country or		Sub-	Trans	lation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
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Other Documents

Examiner	N	A d. Tid. D. (Discover) of Dublication		
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication		
	R	Born, M. & Wolf, E., "Principles of Optics 1999 Cambridge University Press"		
	S	Dutton, Harry J.R., "Understanding Optical Communications", Prentice Hall, 12/1/1998.		
	T			
Examiner		Date Considered		

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.